

# Synthesis of Novel Views of Moving Objects in Airborne Video

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## Abstract

This paper presents a method for synthesizing novel views of moving objects in airborne video. The object of interest is tracked using an appearance based visual tracking method. The on-object point correspondence is then built and used to estimate the homography induced by the ground plane. With known camera focal length, the surface normal to the ground plane and the camera motion between two views are factored out from the homography. In order to assure robustness of surface normal estimation, a rank one constraint is applied to decompose a matrix which contains the homographies from multiple frame pairs. Given a desired viewing direction, the novel image of the object is generated by warping the reference frame using the new homography between the desired viewpoint and the reference frame. Experimental results show that the method is robust and errors due to small depth variations of the object is negligible.

## 1 Introduction

Object identification from surveillance video is of great importance for both military and civilian applications. The varying appearance of the object due to different viewing directions can make the identification very challenging, especially for template matching approaches. Generating novel views is an appealing solution to this problem, either one can generate templates for multiple viewing directions during the training stage, or the novel image of the probe object is rendered in the same viewing direction as some pre-built templates during the testing stage. It can be accomplished by recovering the 3D information of the object from the available images and projecting to the desired view. Alternatively, image-based rendering techniques can be used, which rely on view interpolation or pixel reprojection and do not explicitly build a 3D model.

The problem of building 3D representations from a video sequence has been studied for more than twenty years [6, 8]. When frames from a single camera are used, one obtains a relative depth map from which novel views can be generated; estimates of absolute depth values can be obtained when multiple cameras are used. Reviews and comparisons of different Structure from Motion (SfM) methods that enable 3D modelling can be found in [2, 6, 8]. To build acceptable 3-D models, the following issues have to be considered:

observation noise (noise present in token correspondence or in computing optical flow), feature occlusion, motion/structure recovery ambiguities, mixed domain sequences having both small and large baselines and mismatched tokens and/or independently moving objects in the observed image frames. Being able to handle these issues is critical for producing practical structure recovery algorithms. Although elegant methods have been recently reported in [4, 13], much more needs to be done in addressing these issues. As an alternative to creating the 3-D model of an object prior to view synthesis, a novel view of the object for a desired viewpoint can be directly computed from a video sequence using the trilinear tensor [1], sidestepping the need for explicit 3D structure recovery. An accurate camera calibration is no longer necessary.

When the object to be synthesized is at a great distance from the camera (e.g., the vehicle in the airborne video), its depth-relief is negligible, and it may be assumed to move on a dominant plane (the ground plane). According to [14], if all the visible scene points are coplanar (i.e., structure degeneracy), the image data does not contain enough information to recover the epipolar geometry. Consequently, it is not possible to compute a  $4 \times 4$  projective transformation between two sets of 3D points if the only correspondences available are coplanar. Therefore, the tensor based view synthesis method, which essentially needs the projective transformation between the 3D scene and the images, may not be accurate or stable. Because of the large distance from the camera to the object, the "Plane plus parallax" approach [7] can not be applied here since the object of interest is approximately a flat scene thus the 3D structure can not be reliably estimated. Some feature based robust approaches do not work well either due to the low resolution and the lack of texture. No corners or other feature points can be reliably extracted and tracked.

In order to accomplish the view synthesis task for moving objects in airborne video, we resort to homography induced by the ground plane using a synthesis by tracking algorithm. In surveillance videos, tracking itself plays an important role in accumulating object information across frames. The region of interest (ROI, in our case, the moving object) is tracked with an appearance based visual tracking method. The tracking algorithm uses an adaptive appearance model incorporated in Sequential Monte Carlo (SMC) technique [16]. The on-object point correspondence is built from the tracking parameters and used to estimate the homography induced by the ground plane for each pair of frames. With known camera focal length, the surface normal to the ground plane and the camera motion between the frame pair are factored out from the homography using Singular Value Decomposition (SVD), as shown in [15]. With the tracking result across multiple frames and the estimated rotation between each frame pair available, a rank one constraint is applied to decompose a matrix that contains the homographies from multiple frame pairs [12] to ensure robust surface normal estimation. Given a desired viewing direction, the novel image of the object is generated by warping the reference frame using the new homography between the desired viewpoint and the reference frame.

The remainder of this paper is organized as follows: Section 2 briefly summarizes the appearance model-based visual tracking algorithm. Section 3 describes homography-based view synthesis for moving objects in airborne video, including a brief introduction to homography, establishing point correspondence from visual tracking, the estimation of ground plane surface normal and novel image generation for the desired viewing direction. In Section 4, we present a fusion scheme that utilizes the rank one constraint to realize robust surface normal estimation. Experimental results are given in Section 5, and Section 6 concludes the paper and discusses some future work.

## 2 Appearance Model-Based Visual Tracking

This section presents an appearance model-based tracking system for a single view. The system processes the video frames captured under one single view and produces the tracking parameters for later use. The task of an appearance tracker is to infer the deformation (or tracking) parameter best describing the differences between the observed appearances and the appearance model. To accommodate the dynamics embedded in the video sequence, we employ a state space time series model.

Suppose  $\{Y_1, \dots, Y_t, \dots\}$  are the observed video frames containing the appearances of the object to be tracked. We use an affine transformation  $\mathcal{T}$  parameterized by  $\theta_t$  and denote the appearance model by  $A_t$ . Our time series model is fully defined by (a) a *state transition equation* and (b) an *observation equation*.

$$(a) \theta_t = \theta_{t-1} + U_t, (b) Z_t \doteq \mathcal{T}\{Y_t; \theta_t\} = A_t + V_t, \quad (1)$$

where  $U_t$  is the system noise and  $V_t$  is the observation noise. Our goal is to compute the posterior probability  $p(\theta_t | Y_{1:t})$ , which is used to estimate the ‘best’ parameter  $\hat{\theta}_t$ . Because this model is nonlinear (e.g. the affine transformation part), we use SMC technique [10, 11] to approximate  $p(\theta_t | Y_{1:t})$  using a set of particles. We now specify the model choices.

### 2.1 Appearance Model $A_t$

The appearance model  $A_t$  is crucial in a tracker. If a fixed template, say  $A_t \equiv A_0$ , is used, it is difficult to handle appearance changes in the video. On the other hand, one could use a rapidly changing model, say  $A_t = \hat{Z}_t \doteq \mathcal{T}\{Y_t; \hat{\theta}_t\}$ , i.e., the ‘best’ patch of interest in the previous frame, but this is susceptible to drift. Thus, it is necessary to have a model which is a compromise between these two cases. Mixture models are used in [9, 16]. In this paper, we adapt the appearance model to the changing appearances at a moderate pace.

We assume that (i) the appearance model  $A_t$  is associated with a mean image  $\mu_t$  (the actual  $A_t$  in (1)) and a variance image  $\sigma_t^2$  (included in  $V_t$  in (1)), and (ii)  $A_t$  summarizes the past observations under an exponential envelop with a forgetting factor  $\alpha$ . When the appearance in the current frame has been tracked, i.e.  $\hat{Z}_t$  is ready, we compute an updated appearance model  $A_{t+1}$  and use it to track in the next frame. Using a maximum likelihood (ML) reasoning (skipped here due to space limitations), one can show that  $\mu_{t+1}$  and  $\sigma_{t+1}^2$  can be updated as

$$\mu_{t+1} = \alpha\mu_t + (1 - \alpha)\hat{Z}_t; \sigma_{t+1}^2 = \alpha\sigma_t^2 + (1 - \alpha)(\hat{Z}_t - \mu_t)^2. \quad (2)$$

In the above equations, all  $\mu$ ’s and  $\sigma^2$ ’s are vectorized and the operation is element-wise. Also,  $V_t$  is distributed as a multivariate normal density  $\mathcal{N}(0, D(\sigma_t^2))$ , where  $D(\sigma_t^2)$  denotes a diagonal matrix with diagonal elements  $\sigma_t^2$ .

### 2.2 Adaptive Noise $U_t$

The system noise  $U_t$  constrains the particle coverage. It is ideal to draw particles such that they are close to the object. In addition, the particle coverage should also accommodate the extent of clutter in the observation. To this end, we use  $U_t \sim \mathcal{N}(v_t, r_t I)$ , where  $v_t$  is the ‘instantaneous’ velocity in the tracking parameter,  $r_t$  is the noise variance measuring the extent of clutter, and  $I$  is an identity matrix.

However, we have no knowledge of  $v_t$  and  $r_t$ . We use a linear prediction scheme to estimate them. This prediction scheme is in spirit similar to finding an affine flows for the current ‘best’ patch in the next frame. Refer to [16] for details. As a consequence, the prediction scheme produces an estimate of  $v_t$  and a prediction error  $\varepsilon_t$ . We take  $r_t$  as a monotone function of  $\varepsilon_t$ . Also, we vary the number of particles according to  $r_t$ .

### 3 Homography Based View Synthesis

#### 3.1 Introduction to Homography

Suppose  $\mathbf{X}$  is a scene point lying on a plane  $\pi$ . Let  $\mathbf{x}$  and  $\mathbf{x}'$  be the projections of  $\mathbf{X}$  in view 1 and view 2 respectively. Then there exists a  $3 \times 3$  matrix  $H_\pi$  such that  $\mathbf{x}' \cong H_\pi \mathbf{x}$  where  $H_\pi$  is called the homography matrix induced by the plane  $\pi$  [5]. For simplicity we will omit the subscript of  $H_\pi$  if there is no confusion in the following sections.

Given a set of corresponding points  $\mathbf{x}_i \leftrightarrow \mathbf{x}'_i$ , where  $\mathbf{x}_i$  come from view 1 and  $\mathbf{x}'_i$  come from view 2, and writing  $\mathbf{x}'_i = (x'_i, y'_i, \omega'_i)^T$  with homogeneous coordinate, we can estimate the homography  $H$  between the two views using  $\mathbf{x}'_i \times H\mathbf{x}_i = 0$  [5]. For each pair of corresponding points, three linear equations are written as

$$\begin{bmatrix} \mathbf{0}^T & -\omega'_i \mathbf{x}_i^T & -y'_i \mathbf{x}_i^T \\ \omega'_i \mathbf{x}_i^T & \mathbf{0}^T & -x'_i \mathbf{x}_i^T \\ y'_i \mathbf{x}_i^T & x'_i \mathbf{x}_i^T & \mathbf{0}^T \end{bmatrix} \begin{pmatrix} \mathbf{h}_1 \\ \mathbf{h}_2 \\ \mathbf{h}_3 \end{pmatrix} = \mathbf{0} \quad (3)$$

where  $\mathbf{h}_i, i = 1, 2, 3$  is a  $3 \times 1$  vector made up of the entries in the  $i^{\text{th}}$  row of  $H$ .

By stacking the coordinates of all the corresponding points into a coefficient matrix  $A$  as in (3), the entries of  $H$  satisfy the linear equation  $A\mathbf{h} = 0$  where  $\mathbf{h} = (\mathbf{h}_1^T, \mathbf{h}_2^T, \mathbf{h}_3^T)^T$ . More accurate result is expected using robust estimation methods (RANSAC [3], LMedS).

#### 3.2 Building Point Correspondence from Visual Tracking

Since the height of the moving object in airborne video is negligible compared to the distance between the object and the camera, it may be assumed to move on the ground plane. Therefore the transformation which links the on-object points across frames can be approximated by a homography. To estimate the homography, the on-object point correspondence has to be found which is always challenging, especially for unstabilized video without rich texture. We use the appearance based visual tracking method introduced in Section 2 to establish the point correspondence. Without doing any stabilization, the moving object can be tracked even with the moving camera. The inter-frame transformation we obtain from the tracking algorithm captures the combined motion of the object and the camera. Without loss of generality, we pick the first frame as the reference frame wherein the ROI is specified by the user or by any detection algorithm. The ROI is tracked through the whole video sequence. Because of the rigidity of the object, all pixels inside the ROI undergo the same transformation so the point correspondence across frames can be found by sampling inside the ROI. (3) is then used to estimate the homography between the current frame and the reference frame.

### 3.3 Novel Image Generation for the Desired Viewing Direction

Assume that the projection matrix for the reference frame is  $P_1 = K_1[I_{3 \times 3} | \mathbf{0}]$ , where  $K_1$  is the camera calibration matrix for the reference frame. For the specified viewing direction  $[R_{new} | \mathbf{t}_{new}]$  relative to the reference frame, the homography  $H_{new}$  between the reference frame and the desired viewpoint induced by the ground plane is given by

$$H_{new} = K_{new}(R_{new} - \mathbf{t}_{new}\mathbf{n}^T)K_1^{-1}, \quad (4)$$

where  $K_{new}$  is the camera calibration matrix for the desired view,  $\mathbf{n}^T$  is the surface normal to the ground plane in the coordinate system of the reference frame. Therefore, the on-object points  $\mathbf{p}_i$ 's in the reference frame and the corresponding points  $\mathbf{p}'_i$ 's in the desired view are related by  $\mathbf{p}'_i = H_{new}\mathbf{p}_i$ , which can be used to generate the desired image by warping the points from the reference frame.

### 3.4 Plane Function Estimation

In order to get  $H_{new}$  for a given  $[R_{new} | \mathbf{t}_{new}]$  relative to the reference frame, the camera calibration matrices  $K_1$  and  $K_{new}$ , and the ground plane surface normal  $\mathbf{n}^T$  have to be known. By assuming that the principal point of the camera is at the center of the image and there is no skewing effect, the camera calibration matrix solely relies on the focal length  $f$ . As suggested in [5],  $f$  can be estimated using the inter-frame homographies  $H_k$ 's and two imaged circular points  $\mathbf{c}_j, j = 1, 2$  in the reference frame if the calibration matrix is assumed to be constant ( $K_k = K_1$ ) throughout the video sequence. In this paper, we simply obtain the focal length  $f$  from the metadata comes with the surveillance video.

It is not possible to get the ground plane information  $\mathbf{n}^T$  from only one view. Triggs [15] gives an SVD based factorization method to decompose a calibrated homography  $\hat{H} = K_2^{-1}HK_1$  into the plane normal  $\mathbf{n}^T$  and the relative orientation between the two cameras  $R(I_{3 \times 3} | -\mathbf{t})$ . In the coordinate system of the first camera ( $P_1 = (I_{3 \times 3} | 0)$ ), let the 3D plane be  $\mathbf{n} \times \mathbf{x} = z = 1/\zeta$ , where  $z = 1/\zeta > 0$  is the inverse distance to the plane. Let the matrix of the second camera be  $P_2 = R(I_{3 \times 3} | -\mathbf{t})$  where  $\mathbf{t}$  is the inter camera translation and  $R$  the inter camera rotation. Then the homography from image 1 to image 2 is  $\hat{H} = R\hat{H}_1$  where  $\hat{H}_1 = I_{3 \times 3} - \zeta\mathbf{t}\mathbf{n}^T$ . For a 3D point  $\mathbf{x}$  on the plane  $\hat{H}\mathbf{x} = R(\mathbf{x} - \zeta\mathbf{t}\mathbf{n}^T) = R(\mathbf{x} - \mathbf{t}) \approx P_2\mathbf{x}$ , since  $\zeta\mathbf{n}^T\mathbf{x} = 1$  there. Treating  $\mathbf{x}$  as a point in image 1 changes only the overall scale factor. Only the product  $\zeta\mathbf{t}\mathbf{n}^T$  is recoverable, so we normalize to  $\|\mathbf{t}\| = \|\mathbf{n}\| = 1$  and use visibility tests to work out the allowable signs. The detailed decomposition of  $\hat{H}$  can be found in appendix 1 of [15]. For a distant plane  $\zeta \rightarrow 0$  as in airborne video, the estimated  $\mathbf{n}^T$  and  $\mathbf{t}$  might be unreliable but  $R$  is still accurate. The inaccuracy of  $\mathbf{n}^T$  and  $\mathbf{t}$  is compensated using multiple image pairs as described in Section 4, where the accurate  $R$  is used to compute the infinite homography  $H^\infty$ .

## 4 Fusion Scheme

Every other frame, together with the reference frame, gives an estimate to the plane function  $\mathbf{n}^T$  in the coordinate system of the reference frame. However, for a distant plane, the estimated  $\mathbf{n}^T$  for each pair of frames is not reliable as pointed in [15]. Also, the information from the whole video sequence has not been fully utilized. Therefore, a suitable

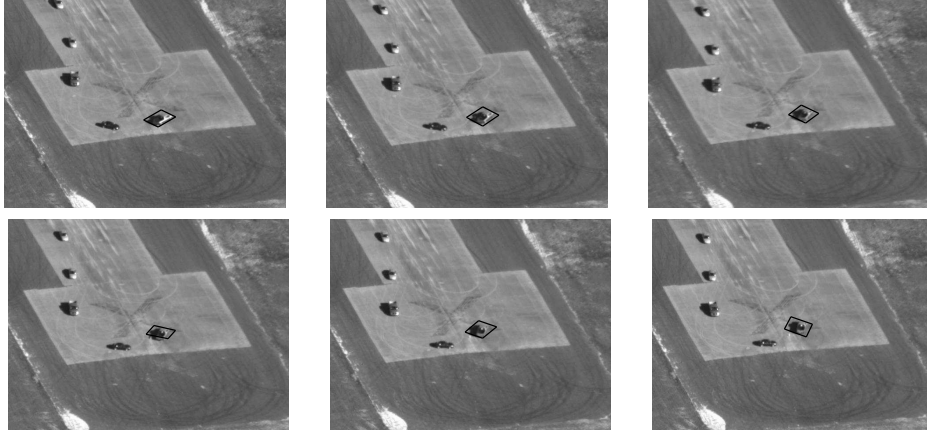


Figure 1: The appearance based visual tracking result, with the ROI marked as the black box in each frame.

fusion scheme that can fuse available two-view estimates is needed to achieve a robust estimate of  $\mathbf{n}^T$ . In [12], a rank one constraint is applied to factorize a matrix, which stacks the planar homographies between the reference frame and all the other frames, into plane functions and camera motions. This is a good fit to our problem as a fusion scheme. The only information that is needed other than the inter-frame homography  $H_k$  is the infinite homography  $H_k^\infty$  for each pair of frames. As mentioned in Section 3.4, for a distant plane the estimate to the camera rotation  $R_k$  is still accurate. Thus the infinite homography  $H_k^\infty$  is computed as  $H_k^\infty = K_k R_k K_1^{-1}$  [5]. A block matrix  $W$  is constructed by stacking all the transformed inter-frame homographies  $\hat{H}_k$  as in (5). By applying the constraint that  $W$  has rank at most 1,  $W$  can be factorized into the camera center vector  $[\bar{t}_k]$  and the ground plane surface normal  $\mathbf{n}^T$  using SVD:

$$W = \begin{pmatrix} \hat{H}_2 \\ \hat{H}_3 \\ \vdots \\ \hat{H}_n \end{pmatrix} = \begin{pmatrix} \bar{t}_2 \\ \bar{t}_3 \\ \vdots \\ \bar{t}_n \end{pmatrix} \mathbf{n}^T, \quad (5)$$

where  $\hat{H}_k = \lambda^{-1} H_k^\infty H_k - I_{3 \times 3}$ . The scale  $\lambda$  for  $\hat{H}_k$  is computed from the double eigenvalues of the planar homology  $H_k^{\infty-1} H_k$ .

Having the robust estimate of  $\mathbf{n}^T$ , we can use (4) to compute  $H_{new}$  and then the points on the object in the reference frame are warped to the desired viewpoint. A cubic interpolation is used to get the final synthesis result. With the metadata available, we can simply assume that the camera calibration matrix  $K_{new} = K_k$  because mostly the desired image is in a comparable range of the available images, which relaxes the requirement that the focal length  $f$  be constant throughout the whole video sequence in Section 3.4.

The advantages of the proposed method include (i) Avoid the degeneracy in estimating the perspective projection relation across views. (ii) The desired viewpoint  $[R_{new} | \mathbf{t}_{new}]$  is easy to be incorporated in the framework as shown in (4). (iii) The rank one constraint fusion scheme can help to improve the ground plane function estimation and view synthesis by using the information from the whole video sequence. (iv) No dense point correspondences are needed for view synthesis. (v) The computation is simple and fast.

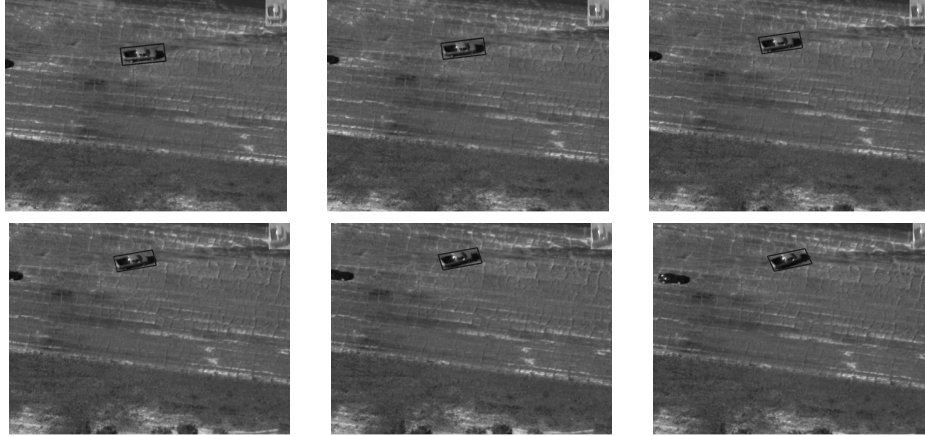


Figure 2: Another visual tracking result, with the ROI marked as the black box in each frame and the top right corner showing the appearance model updated at each frame.

## 5 Experimental Results

Experiments were conducted on several airborne video sequences. Fig. 1 shows the appearance based visual tracking result, with the ROI marked as the black box in each frame. We can observe from Fig. 1 that the object is reliably tracked in spite of its own motion and camera motion. Since the object is a rigid object, all pixels inside the ROI undergo the same transformation. Therefore the on-object point correspondences across frames are established by sampling inside the ROI and used to estimate the inter-frame homographies. Fig. 2 gives another example of the tracking result, with the top right corner showing the appearance model updated at each frame.

The view synthesis result are shown in Fig. 3 and Fig. 4, with the center image as the reference frame and the object inside the box. The surrounding images are the synthesized images w.r.t. different viewing directions. As we can observe, the synthesized images are very good in following the changing viewing directions although it is not easy to see the fine details because of the distance between the camera and the scene.

## 6 Conclusions and Future Work

A method for synthesizing novel views of moving objects in airborne video has been described in this paper. Correspondences among points on the object are built using an appearance based visual tracking method and used to estimate the homography induced by the ground plane. An SVD factorization is used to decompose the calibrated homography into the ground plane surface normal and the camera motion. A rank one constraint fusion scheme is used to realize robust surface normal estimation. New homography between a desired viewing direction and the reference frame is computed and used to generate novel images. Experimental results show that the method is robust and the error due to the small depth variations of the object is negligible.

Our future work will use the proposed synthesis algorithm to build multiple templates during the learning stage, and identify objects using these templates to handle the various appearances due to different viewing directions. We will also do some experiments on

simultaneous identification and tracking from video to video for airborne sequences.

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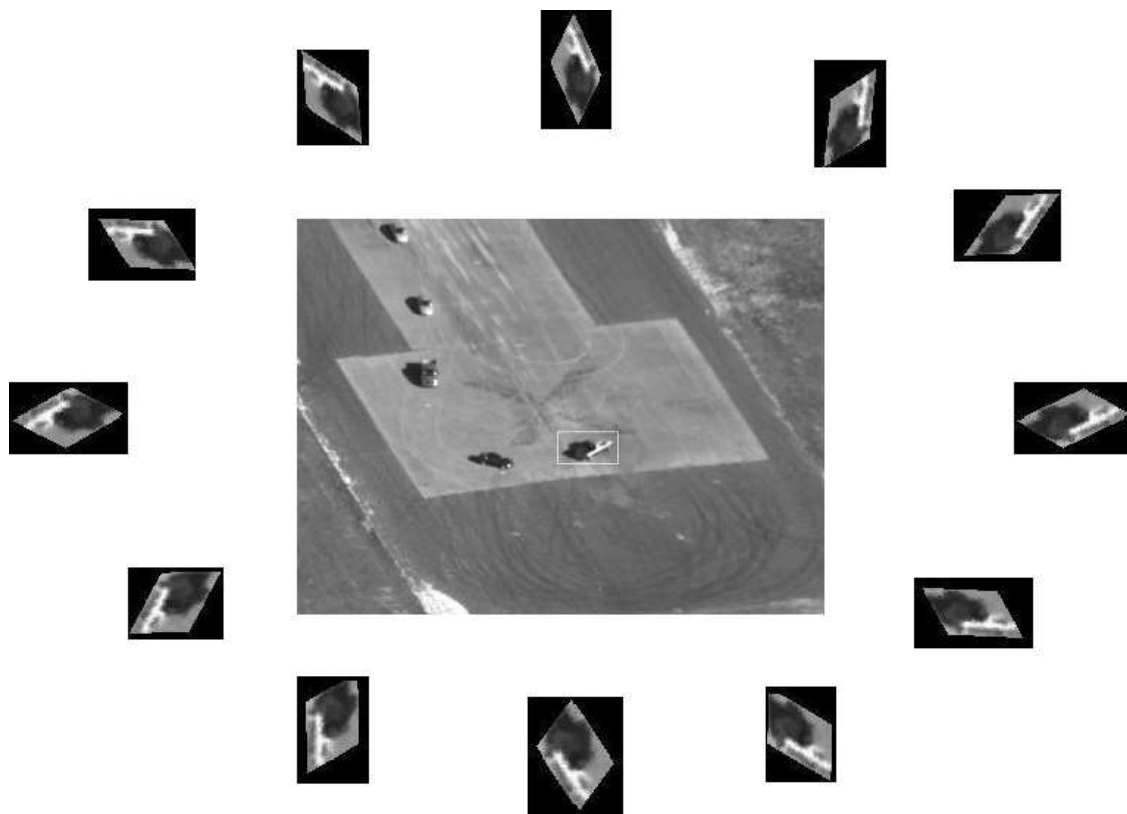


Figure 3: The view synthesis result. The center image is the reference frame, with the object inside the white box. The surrounding images are the synthesized images corresponding to different viewing directions.

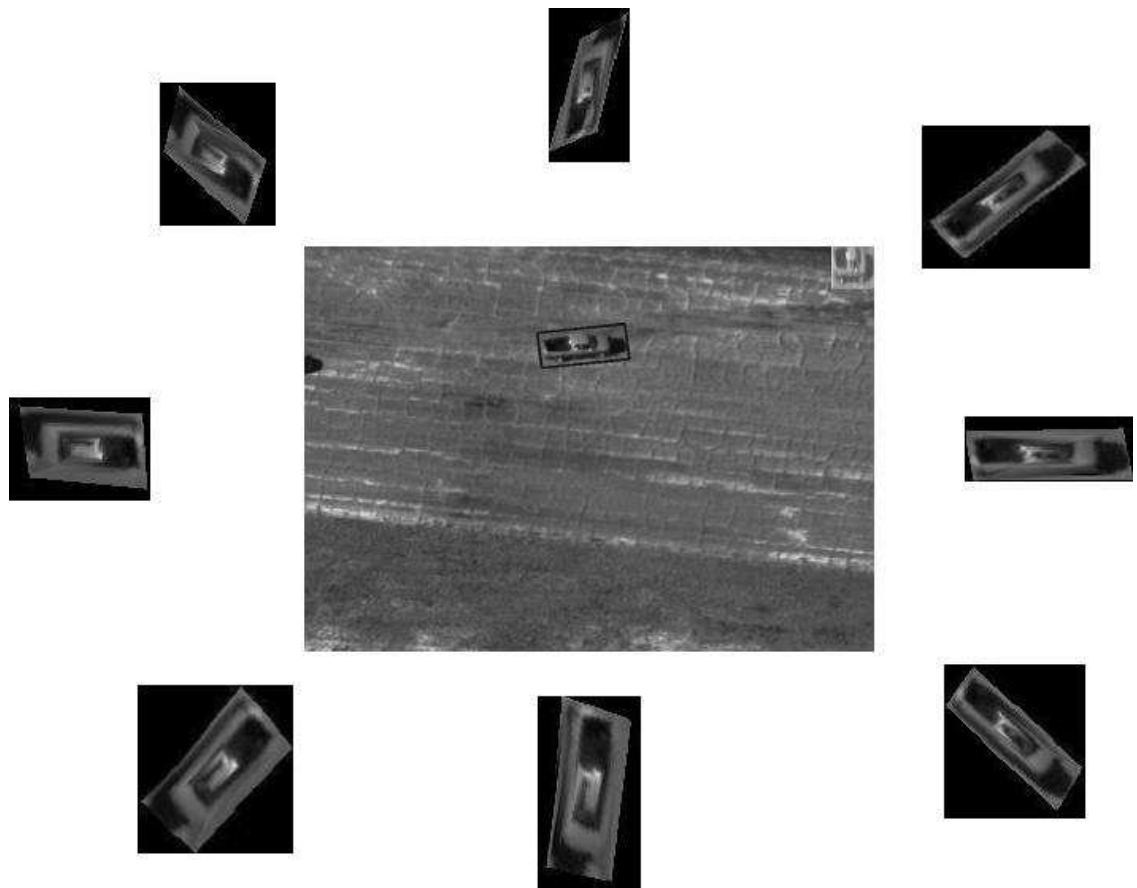


Figure 4: The view synthesis result. The center image is the reference frame, with the object inside the black box. The surrounding images are the synthesized images corresponding to different viewing directions.